



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

Fourth Quarter Fiscal Year 2014-15
(April, May, June)

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Barbara Palmer
Director

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Introduction

The **Agency for Persons with Disabilities (APD)** administers Medicaid waivers providing supports to 31,000 individuals with developmental disabilities across Florida enabling them to live, learn and work in their communities. These individuals have autism, intellectual disability, spina bifida, cerebral palsy, Down syndrome (as of July 1, 2011), Prader-Willi syndrome, or are children ages 3 to 5 who are at high risk of being diagnosed with a developmental disability.

To meet the needs of the diverse population it serves, APD offers a wide array of services for individuals who are living in their own homes, family homes, or in licensed group facilities. Some of the 27 services currently provided by the agency include life skills development (companion services, adult day training, and employment services), occupational therapy, behavior analysis, adaptive and medical equipment, residential habilitation and physical therapy.

For the period of April through June 2015, about 1,400 individuals on the Wait List for waiver services received limited services funded from General Revenue and the Social Services Block Grant (SSBG) through the agency, and another 11,000 Medicaid eligible individuals received some state services through the Medicaid State Plan. About 9,000 individuals on the Wait List for waiver services did not receive any services through APD or the Medicaid State Plan during this period.

Historical Overview

On May 1, 2011, the agency began implementation of the new Developmental Disabilities Home & Community Based Services Individual Budgeting Waiver known as iBudget Florida. The iBudget Florida waiver uses an individual budgeting approach intended to enhance the simplicity, sustainability, and equity of the system while also increasing individuals' opportunities for self-direction. As of July 1, 2013 APD completed the transition of waiver clients to the iBudget Florida waiver statewide from the Tier Waiver system that had been in place since 2008.

Please share with us any comments or suggestions you have regarding this report. APD's Chief of Staff, Karen Hagan, may be reached at 850-414-8916.

Glossary of Terms Used in Report

APD - Agency for Persons with Disabilities

CDC+ Program - Consumer-Directed Care Plus Program

iBudget Waiver - Developmental Disabilities Individual Budgeting Home & Community Based Services Waiver

IFS - Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(9), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments

Month	iBudget CDC		iBudget		All Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments
Apr-15	2,221	\$6,178,504	28,779	\$87,488,846	30,958	\$93,667,350.42
May-15	2,222	\$5,843,310	28,815	\$65,575,664	30,971	\$71,418,973.16
Jun-15	2,267	\$5,789,341	28,721	\$70,597,906	30,992	\$76,387,246.23

* Since waiver payments are reported in this table by month of payment rather than by month of service, clients may show claims payments simultaneously under multiple waivers.

**As of the first day of the month.

Source: Allocation, Budget, and Contracts (ABC) Database and Medicaid HP Data Warehouse as of August 1, 2015.

Table 1b summarizes types of services received by waiver enrollees. In addition to iBudget Florida waiver, individuals may receive services through the Consumer-Directed Care Plus (CDC+) Program, offered as an option under the Medicaid State Plan. The CDC+ Program offers comparable services to the waiver, but it allows greater flexibility and more choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and services to persons enrolled in a waiver for which the service is not a waiver service. Room and Board, paid entirely from General Revenue, provides payment to licensed residential providers for individuals to cover the basic costs of room and board and supervision.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services				
	iBudget CDC	iBudget	IFS	Room\Board	Client Total*
Apr-15	2,211	27,715	1,108	529	29,948
May-15	2,252	27,373	644	518	29,644
Jun-15	2,264	27,411	553	468	29,665

*Clients are counted only once regardless of the number of different services they received. Based on historical payment patterns, waiver, IFS and Room & Board services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: ABC Database and Medicaid HP Data Warehouse as of August 1, 2015.

1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Apr-15	31,000	18,102	58.39%
May-15	31,037	17,617	56.76%
Jun-15	30,988	17,233	55.61%

Note: Enrolled as of the first day of the month in which the services were received.
Source: ABC Database and Medicaid HP Data Warehouse as of August 1, 2015.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services by Month of Service

Service Description	iBudget		
	Apr-15	May-15	Jun-15
Adult Dental Services	797	767	1,120
Behavior Analysis - Level 1	1,097	1,024	904
Behavior Analysis - Level 2	668	651	591
Behavior Analysis - Level 3	2,032	1,928	1,635
Behavior Analysis Assessment	42	27	26
Behavior Assistant Services	344	318	273
CDC Monthly Allowance	2,215	2,239	2,261
Consumable Medical Supplies	5,941	5,711	5,472
Dietitian Services	71	67	64
Durable Medical Equipment	62	53	81
Environmental Accessibility Adaptations	13	9	27
Environmental Accessibility Adaptations -- Assessment	13	16	7
Incontinence Supplies; All Types	5,922	5,924	5,698
Life Skills Development - Level 1 (Companion)	3,247	3,138	3,032
Life Skills Development - Level 2 (Supported Empl - Group)	26	25	7
Life Skills Development - Level 2 (Supported Empl - Individual)	1,499	1,417	1,270
Life Skills Development - Level 3 (ADT) - Facility Based	10,945	10,849	10,320
Life Skills Development - Level 3 (ADT) - Off Site	109	108	105

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service Description	iBudget		
	Apr-15	May-15	Jun-15
Occupational Therapy	444	416	409
Occupational Therapy - Assessment	4	1	4
Personal Emergency Response System - Installation	0	1	0
Personal Emergency Response System - Service	125	123	126
Personal Supports	10,188	9,947	9,746
Physical Therapy	871	849	833
Physical Therapy - Assessment	11	7	8
Private Duty Nursing	238	242	236
Residential Habilitation - Assisted Living Facility (month)	267	246	240
Residential Habilitation - Behavioral Focus (day)	43	36	27
Residential Habilitation - Intensive Behavior (day)	383	384	347
Residential Habilitation - Intensive Behavior CTEP (day)	155	155	154
Residential Habilitation - Standard (day)	274	224	224
Residential Habilitation (month)	7,191	7,156	7,043
Residential or Skilled Nursing - LPN	124	117	111
Residential or Skilled Nursing - RN	35	35	36
Respiratory Therapy	34	32	34
Respiratory Therapy - Assessment	0	0	0
Respite (under 21 only)	1,715	1,676	1,678
Respite, Skilled	5	5	5
Special Medical Home Care	18	18	18
Specialized Mental Health Assessment	5	5	4
Specialized Mental Health Counseling	206	209	169
Speech Therapy	444	424	413
Speech Therapy - Assessment	0	0	0
Support Coordination	23,636	23,259	22,637
Support Coordination - CDC Consultant	1,645	1,588	1,552
Support Coordination (Enhanced)	5	5	4
Support Coordination (Limited)	2,965	2,884	2,722
Support Coordination (Limited) - CDC	377	372	363
Supported Living Coaching	3,537	3,384	3,145
Transportation - mile	78	63	76
Transportation - month	1,109	1,080	1,081
Transportation - trip	6,691	6,525	6,339
Unduplicated Client Count	30,060	30,003	29,842

Note: Based on historical payment patterns waiver services are incomplete due to anticipated unsubmitted claims.
Source: Medicaid HP Data Warehouse as of August 1, 2015.

2. Services Received by Persons on the Wait List

Table 2a lists APD services received in April, May, and June 2015 by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the Wait List ages 21 or younger may also receive services from the Florida Department of Education, Medicaid State Plan services and other state and local resources.

Table 2a: Client Counts of Services Provided
by APD to Clients Waiting for Waiver Services as
of April 1, May 1, and June 1, 2015

	Service Month		
	Apr-15	May-15	Jun-15
Total Wait List at Beginning of Month*	21,024	21,121	21,202
Paid Service			
ADULT DAY TRAINING	342	390	314
BEHAVIOR ANALYSIS	16	20	19
COMMUNITY BASED EMPLOYMENT	234	245	222
DENTAL SERVICES	1	5	3
ELIGIBILITY AND PLANNING	1	4	10
HOME ASSISTANCE	36	38	38
LONG-TERM RESIDENTIAL SVS	15	10	9
MEDICAL SERVICES	1	1	3
PERSONAL/FAMILY CARE SVS	42	40	56
OCCUPATIONAL THERAPY			
PHYSICAL THERAPY		1	
PSYCHOLOGICAL THERAPY	50	58	55
RECREATIONAL THERAPY			44
RESIDENTIAL HABILITATION SVS	36	35	27
RESPIRE CARE	96	75	73
SPEECH THERAPY			
SUPPLIES/EQUIPMENT	136	201	158
SUPPORT COORDINATION	484	574	469
SUPPORTED LIVING	66	66	51
TRANSPORTATION	145	147	142
PRESUPPORTED TRANSITIONAL LIVING	38	36	29
Unduplicated Client Total	1,280	1,410	1,292

Source: Wait List and ABC Databases as of August 1, 2015.

Table 2b provides client counts of persons on the Wait List who received APD services (see Table 2a) or Medicaid State Plan services. APD services are provided with state General Revenue and grant dollars. Because some clients received both APD and Medicaid State Plan services, the client count in the third row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on Wait List clients who received neither APD services nor Medicaid State Plan services. Note that some Wait List clients are not currently eligible for Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of April 1, May 1, and June 1, 2015*

	Service Month		
	Apr-15	May-15	Jun-15
Total Wait List at Beginning of Month*	21,024	21,121	21,202
Client Count for APD Non-Medicaid Services	1,280	1,410	1,292
Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***	10,987	11,061	10,859
All Wait List Clients Receiving Services**	11,712	11,852	11,584
Count of Wait List Clients Not Receiving Services	9,312	9,269	9,618
Percent of Wait List Not Receiving Services	44.3%	43.9%	45.4%

*Clients are counted only once regardless of the number of different services they received.

** Unduplicated count for the clients receiving Medicaid services or APD services or both.

***Based on historical payment patterns, Medicaid State Plan services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: Wait List and ABC Databases and Medicaid HP Data Warehouse as of August 1, 2015.

3. Waiver Enrollment in Fiscal Year 2014-15

Table 3 summarizes new waiver enrollment to date in FY 2014-15. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment as defined in statute and rule. Foster kids are children on the Wait List for iBudget Waiver who have open cases in the Department of Children and Families' child welfare system. Pursuant to proviso language in the

General Appropriations Act of 2006, these children have been given priority enrollment over other persons on the Wait List for waiver services, with the exception of crisis enrollments. Beginning in FY 2013-14, the agency received additional appropriation to begin offering enrollment to individuals from the Wait List as referenced in proviso language. Individuals who have enrolled with this additional funding are tracked separately from those that were already enrolled from previous years.

Table 3: New Waiver Enrollment

Month	Crisis	Waitlist Offerred & Enrolled	CBC Kids Enrolled	Total
Enrolled	Enrolled			
Apr-13	27			27
May-13	33			33
Jun-13	18			18
Jul-13	27	568	5	600
Aug-13	27	55	3	85
Sep-13	57	18	3	78
Oct-13	58	7	2	67
Nov-13	43	385	3	431
Dec-13	49	56	4	109
Jan-14	40	42	3	85
Feb-14	39	14	2	55
Mar-14	35	6	6	47
Apr-14	44	15	4	63
May-14	63	10	4	77
Jun-14	52	137	5	194
Jul-14	54	94	5	153
Aug-14	41	649	4	694
Sep-14	57	37	2	96
Oct-14	61	43	3	107
Nov-14	61	16	3	80
Dec-14	53	10	7	70
Jan-15	31	20	1	52
Feb-15	47	14	8	69
Mar-15	53	11	4	68
Apr-15	84	3	9	96
May-15	76	1	5	82
Jun-15	55	4	4	63
			6	0
Total	1,285	2,215	105	3,605

Source: APD Database as of August 1, 2015 and other ABC tracking systems.

4. Length of Wait for Waiver Services

Table 4 displays a frequency distribution of the length of time individuals have been waiting for waiver services. Agency policy allows people to remain on the Wait List if they currently do not need services or do not qualify for Medicaid at the time. These counts include those who may not have needed services at the time of waiver enrollment offers and those who have received other state assistance.

Table 4: Length of Wait for Any Waiver Services
as of August 1, 2015

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
1 Year or Less	August 1, 2014 or later	1,990	9%
1+ to 2 Years	August 1, 2013 - July 31, 2014	1,346	6%
2+ to 3 Years	August 1, 2012 - July 31, 2013	1,359	6%
3+ to 4 Years	August 1, 2011 - July 31, 2012	1,445	7%
4+ to 5 Years	August 1, 2010 - July 31, 2011	1,598	7%
5+ to 6 Years	August 1, 2009 - July 31, 2010	1,650	8%
6+ to 7 Years	August 1, 2008 - July 31, 2009	1,696	8%
7+ to 8 Years	August 1, 2007 - July 31, 2008	1,719	8%
8+ to 9 Years	August 1, 2006 - July 31, 2007	1,887	9%
9+ to 10 Years	August 1, 2005 - July 31, 2006	1,663	8%
More than 10 Years	On or before July 31, 2005	5,032	24%
Total Wait List*		21,385	100.0

Source: Wait List Database as of August 1, 2015.

5. Projected Waiver Costs and Appropriations

Table 5 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 5: Fiscal Year 2014-15 Waiver Budget Forecast

FY 2014-15 APD WAIVER PROJECTIONS	General Revenue	Trust Funds	Total
Blended rate adopted by the SSEC for FY 2014-15	0.4044	0.5956	
Appropriation	\$ 379,579,280	\$ 559,044,061	\$ 938,623,341
Corrected FMAP Adjustment			\$ -
Agency Budget Amendment - Transfer from AHCA ICF/DD to Waiver	\$ 974,166	\$ 1,434,752	\$ 2,408,918
New Appropriation	\$ 380,553,446	\$ 560,478,813	\$ 941,032,259
Less FY 2013-14 Projected Deficit	\$ -	\$ -	\$ -
Less FY 2014-15 Expenditures Projected	\$ (371,048,960)	\$ (546,480,614)	\$(917,529,573)
Total APD Waiver Balance FY 2014-15 Projected	\$9,504,486	\$13,998,199	\$23,502,686